

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted, under 37 CFR, Section 1.8, to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

ON: October 12, 1999

Date of October 12, 1999

BY:

Linda Simpson
Signature of Linda Simpson

Connors & Associates
1600 Dove Street, Suite 220
Newport Beach, CA 92660
Telephone: (949) 833-3622
Facsimile: (949) 833-0885

THE ATTENTION OF : WINSTON ALVARDO (703) 305-6421
National Stage Processing/Paralegal Specialist

FAX NO. : (703) 305-3230

APPLICATION NO. : 09/194021

DATE OF FILING : 01 April 1998

DOCKET NO. : 8956.3

SUBJECT : Incorrect PCT Number

RECEIVED

19 OCT 1999

Legal
International Division

Attached is our reply to your instructions during the course of our phone conversation on October 05, 1999.

We have attached the following corrected forms:

- (1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, Form PTO-1390
- (2) Combined Declaration For Patent Application and Power of Attorney
- (3) Verified Statement (Declaration) Claiming Small Entity Status - Independent Inventor.

AS A RESULT OF THIS ERROR, OUR DEPOSIT ACCOUNT 03-2830 WAS CHARGED FOR THE CLAIMS IN PCT/US98/06542. PLEASE ALERT THIS DEPARTMENT OF THE ERROR AND REQUEST OUR ACCOUNT BE CREDITED THE SAME AMOUNT.

Please Confirm Receipt Of This Fax. Thank You.

TOTAL PAGES FAXED INCLUDING THIS COVER SHEET 7

THIS FAX CONTAINS PRIVILEGED & CONFIDENTIAL INFORMATION WHICH SHOULD NOT BE USED OR DISCLOSED WITHOUT APPROVAL. IF YOU RECEIVE THIS MESSAGE IN ERROR, PLEASE DESTROY FAX AND ANY COPIES. THANK YOU.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT COOPERATION TREATY IPEA/US

APPLICANT : RUDOLPH, James M.
APPLN NO. : 09/194021
FILED : 01 April 1998
TITLE : FILE WITH SANTITIZING AGENT AND METHOD
EXAMINER/GROUP : Winston Alvarado/National Stage Processing
(703) 305-6421
DOCKET NO. : 8956.3
CUSTOMER NO. : 21905

REPLY TO THE PHONE CONVERSATION OF OCTOBER 05, 1999
WITH WINSTON ALVARADO

October 12, 1999

Honorable Assistant Commissioner for Patents
Box PCT
Washington, D. C. 20231

Sir:

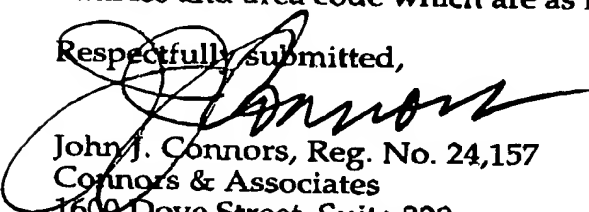
Following up on the phone conversation of October 05, 1999 with my assistant, Linda Simpson, and USPTO Paralegal Specialist, Winston Alvarado, it has become apparent that the PCT number listed on all forms concerning the above-identified application was incorrectly listed as PCT/US98/06542. The correct International Application Number for this case is PCT/US98/06452. Unfortunately the 4 and 5 were inadvertently switched.

Attached herewith are all new signed forms with the proper International Application Number.

As a result of this error, our Deposit Account 03-2830 was charged for the claims in PCT/US98/06542. Please alert this department of the error and request our account be credited the same amount.

Additionally, please change your records to reflect applicant's attorney's new address and area code which are as listed below.

Respectfully submitted,



John J. Connors, Reg. No. 24,157
Connors & Associates
1600 Dove Street, Suite 220
Newport Beach, CA 92660-2427

Telephone: (949) 833-3622
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FORM PTO-1390
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

8956.3

U.S. APPLICATION NO. (if known, sec. 37 C.F.R.1.5)

08/832,219

INTERNATIONAL APPLICATION NO.
PCT/US98/06452

INTERNATIONAL FILING DATE

01 April 98

PRIORITY DATE CLAIMED

03 April 97

Title of Invention

FILE WITH SANITIZING AGENT AND METHOD

Applicant(s) for DO/EO/US

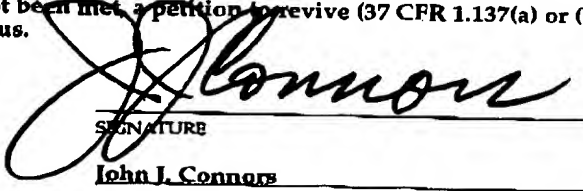
RUDOLPH, James M.**Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:**

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
7. ☐ Amendments to the claims of the international Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has **NOT EXPIRED**.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Item 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information.

(1) Verified Statement Claiming Small Entity Status, Independent Inventor

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/US98/06452		ATTORNEY'S DOCKET NO. 8956.3	
17. <input type="checkbox"/> The following fees are submitted: Basic National Fee (37CFR 1.492(a)(1)-(5): Search report has been prepared by the EPO or JPO \$ 610.00 International preliminary examination fee paid to USPTO (37CFR 1.482) No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37CFR 1.445(a)(2)) Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37CFR 1.445(a)(2)) paid to USPTO International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS	PTO USE ONLY
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 610.00	\$ 0
Claims	Number Filed	Number Extra	Rate		
Total 29 Claims	29-20 =	9	x \$ 14.00	\$ 126.00	
Independent Claims	5-3 =	2	x \$ 64.00	\$ 128.00	
Multiple Dependent Claim(s) (if applicable)			+ \$ 210.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 864.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 432.00	
SUBTOTAL =				\$ 432.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 432.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 432.00	
				Amount to be refunded:	\$
				Charged:	\$
a. <input type="checkbox"/> A check in the amount of \$432.00 to cover the above fee is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-2830</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Connors, John J. Connors & Associates 1600 Dove Street, Suite 220 Newport Beach, CA 92660-2427 US					
				 SIGNATURE John J. Connors	
				24.157 REGISTRATION NUMBER	